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|---|----------------------------------|------------------------------|-----------------------|------------------------|--------------------------|--|
| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | | | | | Docket No. 11502.33 | |
| In Re Application of Blatter | | | | | | |
| Application No. 10/706,245 | Filing Date November 12, 2003 | Examiner Not Yet Assigned | Customer No. 32642 | Group Art Unit 3731 | Confirmation No. 6387 | |
| Title: STAPLE AND ANVIL ANASTOMOSIS SYSTEM | | | | | | |
| Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | | | | | | |
| 37 CFR 1.97(b) | | | | | | |
| 1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. | | | | | | |
| 37 CFR 1.97(c) | | | | | | |
| 2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: | | | | | | |
| <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); | | | | | | |
| OR | | | | | | |
| <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). | | | | | | |

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

11502.33

In Re Application: Blatter

NOV 19 2004

Application No.

10/706,245

Filing Date

November 12, 2003

Examiner

Not Yet Assigned

Customer No.

32642

Group Art Unit

3731

Confirmation No.

6387

Title: STAPLE AND ANVIL ANASTOMOSIS SYSTEM

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-2375 as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____

(Date)

Signature of Person Mailing Correspondence

Kevin B. Laurence

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Kevin B. Laurence
Signature

Dated: NOVEMBER 16, 2004

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cc:



PATENT APPLICATION
Docket No.: 11502/33 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
)
Blatter)
)
Serial No.: 10/706,245) Art Unit
) 3731
Filed: November 12, 2003)
)
For: STAPLE AND ANVIL ANASTOMOSIS SYSTEM)
)
Examiner: Not Yet Assigned)

INFORMATION DISCLOSURE STATEMENT

TO THE COMMISSIONER FOR PATENTS:

1. Pursuant to the duty of disclosure, documents listed on the accompanying Form PTO-1449 (or equivalent) are presented for the Examiner's consideration.

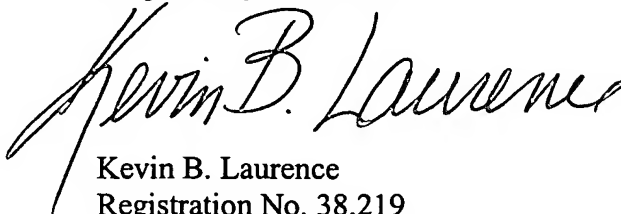
- ☐ Copies of listed foreign patent documents and non-patent literature are enclosed. (37 C.F.R. § 1.98(a)(2))
- ☒ Copies of the documents listed at sheets 1-11, citation numbers 1-231 of the attached Form PTO-1449 (or equivalent) are omitted because (1) they are already of record in U.S. Patent Application Serial No. 10/243,543, filed September 12, 2002 and U.S. Patent Application Serial No. 09/293,366, filed April 16, 1999, now U.S. Patent No. 6,623,494 on which this application relies for an earlier filing date under 35 U.S.C. § 120; and (2) any information disclosure statement filed in the prosecution of Application No. 10/706,245, complies with 37 CFR §§ 1.98(a) through (c). (37 C.F.R. § 1.98(d))
- ☐ A copy of copending U.S. Patent Application No. _____, filed _____, for _____, listed at (sheet/cite no.) _____ of the attached Form PTO-1449 (or equivalent), ☐ is enclosed / ☐ is omitted. (Copy not required if available via IFW. 1287 OG 163 (Oct. 19, 2004).).

2. This information disclosure statement is being submitted (check box a., b., or c.):
- a. ☒ Within three months of the filing date of a national application or entry of the national stage in an international application; or before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114. (No statement under 37 CFR 1.97(e) is required.); or
 - b. ☐ After the period set forth in paragraph 2a, but before the mailing date of either a final action, a notice of allowance, or an action that otherwise closes prosecution in the application. (Check box i. or ii.)
 - i. ☐ A \$180.00 information disclosure statement submission fee set forth in 37 CFR 1.17(p) is enclosed, or
 - ii. ☐ A statement specified by 37 CFR 1.97(e) is set forth below; or
 - c. ☐ After the mailing date of a final action or notice of allowance and on or before payment of the issue fee. A statement specified by 37 CFR 1.97(e) is set forth below. Enclosed is a \$180.00 information disclosure statement processing fee set forth in 37 CFR 1.17(p).
3. If a statement specified by 37 CFR 1.97(e) is required, the attorney or agent signing below hereby states that:
- ☐ each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
 - ☐ no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

4. ☐ A concise explanation of the relevance of each document not in the English language and/or selected documents in the English language is set forth below

DATED this 16TH day of November, 2004.

Respectfully submitted,



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FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
11502/33:1 USAPPLICATION NO.
10/706,245INFORMATION DISCLOSURE CITATION
(Uses several sheets if necessary)

STAPLE AND ANVIL ANASTOMOSIS SYSTEM

APPLICANT – Duane D. Blatter

FILING DATE-
November 12, 2003

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|--------------------|-------|------------------|-------|----------|-------------------------------|
| | 1 | 3,254,650 | 6/66 | Collito | | | |
| | 2 | 3,254,651 | 6/66 | Collito | | | |
| | 3 | 3,519,187 | 7/70 | Kapitanov et al. | | | |
| | 4 | 3,774,615 | 11/73 | Lim et al. | | | |
| | 5 | 3,776,237 | 12/73 | Hill et al. | | | |
| | 6 | 3,826,257 | 7/74 | Buselmeier | | | |
| | 7 | 4,018,228 | 4/77 | Goosen | | | |
| | 8 | 4,214,587 | 7/80 | Sakura, Jr. | | | |
| | 9 | 4,350,160 | 9/82 | Kolesov et al. | | | |
| | 10 | 4,352,358 | 10/82 | Angelchik | | | |
| | 11 | 4,366,819 | 1/83 | Kaster | | | |
| | 12 | 4,368,736 | 1/83 | Kaster | | | |
| | 13 | 4,503,568 | 3/85 | Madras | | | |
| | 14 | 4,523,592 | 6/85 | Daniel | | | |
| | 15 | 4,553,542 | 11/85 | Schenck et al. | | | |
| | 16 | 4,593,693 | 6/86 | Schenck | | | |
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| | 18 | 4,607,637 | 8/86 | Berggren et al. | | | |
| | 19 | 4,624,255 | 11/86 | Schenck et al. | | | |

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| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|--------------------|-------|----------------------|-------|----------|-------------------------------|
| | 20 | 4,624,257 | 11/86 | Berggren et al. | | | |
| | 21 | 4,657,019 | 4/87 | Walsh et al. | | | |
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| | 23 | 4,721,109 | 1/88 | Healey | | | |
| | 24 | 4,747,407 | 5/88 | Liu et al. | | | |
| | 25 | 4,752,024 | 6/88 | Green et al. | | | |
| | 26 | 4,773,420 | 9/88 | Green | | | |
| | 27 | 4,803,984 | 2/89 | Narayanan et al. | | | |
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| | 34 | 4,917,090 | 4/90 | Berggren et al. | | | |
| | 35 | 4,917,091 | 4/90 | Berggren et al. | | | |
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| | 37 | 4,930,674 | 6/90 | Barak | | | |
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| | 39 | 5,005,749 | 4/91 | Aranyi | | | |
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| | 41 | 5,047,041 | 9/91 | Samuels | | | |
| | 42 | 5,062,842 | 11/91 | Tiffany | | | |

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| | 44 | 5,119,983 | 6/92 | Green et al. | | | |
| | 45 | 5,129,913 | 7/92 | Ruppert | | | |
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| | 49 | 5,193,731 | 3/93 | Aranyi | | | |
| | 50 | 5,205,459 | 4/93 | Brinkerhoff et al. | | | |
| | 51 | 5,211,683 | 5/93 | Maginot | | | |
| | 52 | 5,234,447 | 8/93 | Kaster et al. | | | |
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| | 54 | 5,271,544 | 12/93 | Fox et al. | | | |
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| | 63 | 5,333,773 | 8/94 | Main et al. | | | |
| | 64 | 5,336,233 | 8/94 | Chen | | | |
| | 65 | 5,350,104 | 9/94 | Main et al. | | | |

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| | 66 | 5,366,462 | 11/94 | Kaster et al. | | | |
| | 67 | 5,392,979 | 2/95 | Green et al. | | | |
| | 68 | 5,395,030 | 3/95 | Kuramoto et al. | | | |
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| | 74 | 5,456,714 | 10/95 | Owen | | | |
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| | 89 | 5,643,305 | 7/97 | Al-Tameem | | | |

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| | 90 | 5,643,340 | 7/97 | Nunokawa | | | |
| | 91 | 5,662,580 | 9/97 | Bradshaw et al. | | | |
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| | 98 | 5,702,412 | 12/97 | Popov et al. | | | |
| | 99 | 5,707,362 | 12/98 | Yoon | | | |
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| | 103 | 5,732,872 | 3/98 | Bolduc et al. | | | |
| | 104 | 5,779,731 | 7/98 | Leavitt | | | |
| | 105 | 5,799,857 | 9/98 | Robertson et al. | | | |
| | 106 | 5,817,113 | 10/98 | Gifford, III et al. | | | |
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| | 110 | 5,860,992 | 1/99 | Daniel et al. | | | |
| | 111 | 5,861,005 | 1/99 | Kontos | | | |
| | 112 | 5,865,730 | 2/99 | Fox et al. | | | |

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| | 113 | 5,868,763 | 2/99 | Spence et al. | | | |
| | 114 | 5,879,371 | 3/99 | Gardiner et al. | | | |
| | 115 | 5,893,369 | 4/99 | LeMole | | | |
| | 116 | 5,910,153 | 6/99 | Mayenberger | | | |
| | 117 | 5,915,616 | 6/99 | Viola et al. | | | |
| | 118 | 5,921,995 | 7/99 | Kleshinski | | | |
| | 119 | 5,944,730 | 8/99 | Nobles et al. | | | |
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| | 121 | 5,954,735 | 9/99 | Rygaard | | | |
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| | 123 | 5,993,464 | 11/99 | Knodel | | | |
| | 124 | 6,007,576 | 12/99 | McClellan | | | |
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| | 126 | 6,022,367 | 2/00 | Sherts | | | |
| | 127 | 6,024,748 | 2/00 | Manzo et al. | | | |
| | 128 | 6,036,700 | 3/00 | Stefanchik et al. | | | |
| | 129 | 6,036,710 | 3/00 | McGarry et al. | | | |
| | 130 | 6,050,472 | 4/00 | Shibata | | | |
| | 131 | 6,053,390 | 4/00 | Green et al. | | | |
| | 132 | 6,066,144 | 5/00 | Wolf et al. | | | |
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| | 134 | 6,068,637 | 5/00 | Popov et al. | | | |

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| | 135 | 6,071,289 | 6/00 | Stefanchik et al. | | | |
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| | 137 | 6,080,176 | 6/00 | Young | | | |
| | 138 | 6,083,234 | 7/00 | Nicholas et al. | | | |
| | 139 | 6,113,612 | 9/00 | Swanson et al. | | | |
| | 140 | 6,117,148 | 9/00 | Ravo et al. | | | |
| | 141 | 6,152,937 | 11/00 | Peterson et al. | | | |
| | 142 | 6,171,319 | 1/01 | Nobles et al. | | | |
| | 143 | 6,176,413 | 1/01 | Heck et al. | | | |
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| | 152 | 6,241,743 | 6/01 | Levin et al. | | | |
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| | 154 | 6,254,617 | 7/01 | Spence et al. | | | |
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| | 156 | 6,280,460 | 8/01 | Bolduc et al. | | | |
| | 157 | 6,387,105 | 5/02 | Gifford, III et al. | | | |

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| | 158 | 6,391,038 | 5/02 | Vargas et al. | | | |
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EXAMINER

DATE CONSIDERED

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FOREIGN PATENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLATION | |
|---------------------|-----|--------------------|----------|---------|-------|---------------|-------------|----|
| | | | | | | | YES | NO |
| | 161 | WO 97/12555 | 04/10/97 | PCT | | | | |
| | 162 | WO 98/06356 | 02/19/98 | PCT | | | | |
| | 163 | WO 98/19629 | 05/14/98 | PCT | | | | |
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| | 167 | Boeckx, Willy D. MD, PhD, <i>Scanning Electron Microscopic Analysis of the Stapled Microvascular Anastomosis in the Rabbit</i> , http://198.76.172.231/cgi-bin/bio/con/annals/atseq/63/S128/1997/ALL , Ann Thorac Surg, 1997, pp. 63:S128-34 |
| | 168 | Boeckx, Willy D. MD, PhD, et al., <i>Scanning Electron Microscopic Analysis of the Stapled Microvascular Anastomosis in the Rabbit</i> , Ann Thorac Surg, 1997, pp. 63:S128-34. |
| | 169 | Borst, Cornelius MD, Ph.D, et al., <i>Minimally Invasive Coronary Artery Bypass Grafting: On the Beating Heart and via Limited Access</i> , Ann Thorac Surg, 1997, pp. S1-S5. |
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| | 171 | Chikamatsu, Eiji MD, et al., <i>Comparison of Laser Vascular Welding, Interrupted Sutures, and Continuous Sutures in Growing Vascular Anastomoses</i> , Lasers in Surgery and Medicine, Vol. 16, No. 1, 1995 pp. 34-40. |
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